Dear Client

We are privileged that you have considered us as your advisors.

Please find below information about our company and its representatives.

Our details are as follows:

NAME:	Classic Private Wealth (Pty) Limited
PHYSICAL ADDRESS:	155 West Street Sandown Sandton 2031
TELEPHONE NUMBER:	010 880 3720
FSP NUMBER:	FSP 45542 Classic Private Wealth is licensed for Category I (rendering of financial advisory and intermediary services).
LEGAL STATUS:	We are a private company registered in 2010
REGISTRATION NUMBER:	2010/010 482/07
MANDATE:	The representatives noted above acts as an agent for Classic Private Wealth (Pty) Limited. Your representative may represent more than one product provider. Without in anyway limiting and subject to the other provisions of the services agreement/mandate, Classic Private Wealth (Pty) Limited accepts responsibility for the lawful actions of our representatives (as defined in FAIS) in rendering financial services within the course and scope of their engagement/employment.
SERVICE PROVIDERS:	Your representative will select which service provider listed below they are accredited to market the products of.

Investec	☑
Ninety One Investment Platform	☑
Glacier	☑
Ironclad Asset Management	☑
Anuva	☑
Nesa	☑
Westbrooke	☑
Overseas Trust and Pension	☑
ABSA Investment Management Services	☑
Hollard	☑
Optimus Pension Administrators	☑
Capital International Group	☑
Genera Capital	☑
Aurum Funds Limited	☑
Prime Asset Managers	☑
Phatshoane Henney Consult	☑
Phatshoane Henney Attorneys	☑
Boutique Collective Investments	☑
Other	☑

DISCLOSURES:

The relevant fees/commissions payable to Classic Private Wealth (Pty) Limited are noted on the quotation received from the Product Provider. Please insist on obtaining a copy of this quotation. Classic Private Wealth (Pty) Limited does not hold shares or any equivalent financial interest in any product supplier.

Please note that Classic Private Wealth (Pty) Limited representatives are remunerated as a percentage of funds under management and the performance of the investments.

When providing advice in relation to financial products, Classic Private Wealth (Pty) Limited is required to analyse its clients' requirements and needs. The financial advice will be based on information provided by the client. Classic Private Wealth (Pty) Limited will not be held liable for any financial advice that was provided in respect of incorrect, inaccurate and incomplete information provided by the client.

The objective of Classic Private Wealth is to preserve the wealth of clients in an international context. As such, not all products and / or services proposed will be approved by the Financial Services Conduct Authority and therefore not regulated by them.

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It should be noted that more senior individuals within Classic Private Wealth (Pty) Limited may receive bonus remuneration which recognizes their contribution to the overall success of Classic Private Wealth (Pty) Limited.

SERVICES UNDER SUPERVISION: A copy of our services under supervision framework is shown below.

EXPERIENCE AND ACCREDITATION (FAIS): **Classic Private Wealth (Pty) Limited is authorised in the following product categories (Sub-Categories) and your representative is under supervision for the categories (Sub-Categories) noted below:**

Licensed in the following categories:	Acting under Supervision: (Sub-Categories)
1.1 Long-Term Insurance: Category A	☑
1.2 Short-Term Insurance Personal Lines	☑
1.3 Long-Term Insurance: Category B1	☑
1.4 Long-Term Insurance: Category C	☑
1.5 Retail Pension Benefits	☑
1.6 Short Term Insurance: Commercial Lines	☑
1.7 Pension Funds Benefits (excluding retail)	☑
1.8 Shares	☑
1.9: Money Market Instruments	☑
1.10 Debentures and securitised debt	☑
1.11 Warrants, Certificates and other instruments acknowledging debt	☑
1.12 Bonds	☑
1.13 Derivative Instruments	☑
1.14 Participatory interests in Collective Investment Schemes	☑
1.17 Long-term Deposits	☑
1.18 Short-term Deposits	☑
1.19 Friendly Society Benefits	☑
1.20 Long-term Insurance subcategory B2	☑
1.21 Long-term Insurance subcategory B2-A	☑
1.22 Long-term Insurance subcategory B1-A	☑
1.23 Short-term Insurance Personal Lines A1	☑
1.24 Structured Deposits	☑
1.26 Participatory interest in a hedge fund	☑

COMMITTMENT TO OUR CLIENTS: As a licensed FSP we will always strive to serve and protect your best interests. This includes ensuring that our representatives meet the requirements stipulated by law.

PROFESSIONAL INDEMNITY COVER AND FIDELITY INSURANCE: Classic Private Wealth (Pty) Limited currently holds Professional Indemnity Insurance and Fidelity Insurance.

FICA: Where required in terms of the Financial Intelligence Centre Act ("FICA"), Classic Private Wealth (Pty) Limited shall be obliged to record and verify client information in the manner prescribed by FICA. Classic Private Wealth (Pty) Limited may also be required to report unusual and suspicious financial activities where so obligated by FICA.

Classic Private Wealth (Pty) Limited may be unable in particular circumstances and where required by FICA, to process the transaction as required by the client, unless identification and verification requirements in terms of FICA are met. Classic Private Wealth (Pty) Limited cannot be held responsible for a failure to action any transaction, where such failure was as a result of the non-provision of the legislated information required by FICA by the client.

REPRESENTATIVES:	Although our representatives may change from time to time, we will always endeavour to ensure that a qualified representative will be available to serve your needs, unless you request to be serviced by a representative that is not a representative of Classic Private Wealth (Pty) Limited.
COMPLAINTS:	Our complaints handling procedure is available from our complaints department Telephone Number: 010 880 3720 Email Address: adrienne.muhl@classicwealth.co.za Compliance Officer Assistant: Adrienne Bestbier
CONFLICT OF INTEREST:	A copy of our conflict of interest policy is available on our website, www.classicwealth.co.za/compliance Classic Private Wealth (Pty) Limited has a gift policy to prevent any situation that could create the impression of conflict with a client's interest or compromise the company's independence from product providers.
PRODUCT PROVIDERS:	Classic Private Wealth (Pty) Limited is not a product provider but a representative on behalf of product providers. Please ensure that you always receive a quote from the product providers when purchasing financial products and services.
DETAILS OF THE FAIS OMBUD:	If your complaint is not resolved to your satisfaction, you may submit your complaint: FAIS OMBUD Eastwood Office Park — P O Box 74571 Baobab House — Lynwood Ridge Ground Floor — 0040 Lynwood Ridge — Telephone: 0860 324 766 0081 — www.faisombud.co.za

FAIR COLLECTION NOTICE:

Consent to collect, store and disclose certain information to Classic Private Wealth (Pty) Ltd

This Fair Collection Notice ("Notice") explains how Classic Private Wealth (Pty) Ltd, (we/us) obtain, use, disclose and otherwise process personal information, which may include health and financial information.

I hereby consent to the collection, collation, storage and disclosure of the information contained in all sections of the Compliance documentation signed by me, for any purpose relating to the rendering of sound and proper financial advice to me/us, and any additional information that is provided by me/us to the financial adviser for the purpose of:

- Conducting a full needs analysis to determine financial needs;
- Complete comparison quotations;
- Obtain information from the financial Services Exchange (Astute) or any other financial institution;
- For administration purposes within the group.

All private information will be treated as confidential by the Financial Adviser, the Financial Service Provider and its authorised user(s) and may not be made public without my/our written consent.

Client:__________

Consent to share policy information with the broker

By signing this notice, you authorise Classic Private Wealth (Pty) Ltd to share your information with your financial advisor during any underwriting process.

General

- This Notice explains how I, an intermediary contracted by Classic Private Wealth (Pty) Ltd obtain, use and disclose your personal information, as part of Classic Private Wealth (Pty) Ltd's good business governance.
- You have the right to request a copy of the personal information we hold about you. To do this, simply send an email to info@classicwealth.co.za and specify what information you would like. We will take all reasonable steps to confirm your identity before providing details of your personal information.
- Please note that any such access request may be subject to a payment of a legally allowable fee.
- You have the right to ask us to update, correct or delete your personal information. You may do this by contacting us.
- Please note that we may amend this Notice from time to time.
- Acceptance of these terms and conditions is a requirement for rendering financial services to you.
- Where you act on behalf of a minor, incapacitated person or a person unable to act on their own, you confirm that you have the authority to do so.

I, ……………………………………………………………………………........................... (Full names and Surname) Confirm that I have read the above notice on the ……….of …………….……………..202….. and have received a copy thereof.

Client Name	Signature	Date